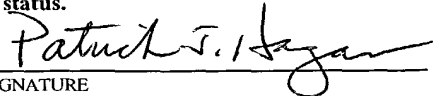


page 1 of 2

U.S. APPLICATION NO. (if known) 097/980913		INTERNATIONAL APPLICATION NO. PCT/EP00/03842		ATTORNEY'S DOCKET NUMBER 0380-P02709US0							
21. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"></td> <td style="width: 20%; text-align: right;">\$</td> <td style="width: 20%; text-align: right;">890.00</td> </tr> <tr> <td></td> <td style="text-align: right;">\$</td> <td style="text-align: right;">130.00</td> </tr> </table>			\$	890.00		\$	130.00
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Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	130.00						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$							
Total claims	47 - 20 =	27	x \$18.00	\$	- 486.00						
Independent claims	5 - 3 =	2	x \$84.00	\$	168.00						
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				\$	-0-						
TOTAL OF ABOVE CALCULATIONS =				\$	1,674.00						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	-0-						
SUBTOTAL =				\$	1,674.00						
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	-0-						
TOTAL NATIONAL FEE =				\$	1,674.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	-0-						
TOTAL FEES ENCLOSED =				\$	1,674.00						
				Amount to be refunded:	\$						
				charged:	\$						
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,674.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1406</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.											
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.											
SEND ALL CORRESPONDENCE TO: HAGAN, Patrick J. Dann Dorfman Herrell & Skillman 1601 Market Street, Suite 720 Philadelphia, Pennsylvania 19103-2307 United States of America											
				 SIGNATURE PATRICK J. HAGAN NAME <u>27,643</u> REGISTRATION NUMBER							

09/980913

JC13 Rec'd PCT/PTO 0.1 NOV 2001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

United States Serial No. : Not Yet Assigned
International Application No. : PCT/EP00/03842
International Filing Date : 27 April 2000
Inventor(s) : ARENAS, Ernest et al.
Title : MATERIALS AND METHODS
RELATING TO NEURONAL
DEVELOPMENT

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Our File No. 0380-P02709US0

Assistant Commissioner
for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Dear Sir:

Before calculation of the filing fee, please amend the claims of the above-referenced patent application, i.e. the claims presented under Article 34 in the corresponding international patent application, as follows:

In the Claims:

Please amend the claims as follows:

4. (Amended) A method according to claim 1 comprising co-culturing the neural stem cell or neural progenitor cell with a Type 1 astrocyte of the ventral mesencephalon.

6. (Amended) A method according to claim 1 wherein said cell is mitotic when it is contacted with said one or more factors.

7. (Amended) A method according to claim 1 wherein said cell is additionally contacted with one or more agents selected from the group consisting of: basic fibroblast growth factor (bFGF), epidermal growth factor (EGF), an activator of the retinoid X

receptor (RXR), and 9-cis retinol.

8. (Amended) A method according to claim 1 wherein said cell is additionally contacted with a member of the FGF family of growth factors.

10. (Amended) A method according to claim 1 wherein the neural stem cell or neural progenitor cell is pretreated with bFGF and/or EGF prior to contacting the cell with one or more factors obtainable from a Type 1 astrocyte of the ventral mesencephalon.

11. (Amended) A method according to claim 1 further comprising formulating a dopaminergic neuron produced by the method into a composition comprising one or more additional components.

19. (Amended) A dopaminergic neuron produced in accordance with claim 1.

23. (Amended) A method according to claim 1 further comprising:
 (i) treating a dopaminergic neuron with a toxin for said dopaminergic neuron;
 (ii) separating the dopaminergic neuron from the toxin;
 (iii) bringing the treated dopaminergic neuron into contact with a test agent or test agents;
 (iv) determining the ability of the dopaminergic neuron to recover from the toxin;
 (v) comparing said ability of the dopaminergic neuron to recover from the toxin with the ability of a dopaminergic neuron to recover from the toxin in the absence of contact with the test agent(s).

24. (Amended) A method according to claim 1 further comprising:
 (i) treating a dopaminergic neuron with a toxin for the dopaminergic neuron in the presence of a test agent or test agents;
 (ii) determining the ability of the dopaminergic neuron to tolerate the toxin;

(iii) comparing said ability of the dopaminergic neuron to tolerate the toxin with the ability of a dopaminergic neuron to tolerate the toxin in the absence of contact with the test agent(s).

25. (Amended) A method according to claim 23 further comprising formulating an agent which improves ability of a dopaminergic neuron to recover from or tolerate a said toxin into a composition comprising one or more additional components.

37. (Amended) A method according to claim 31 wherein a factor or factors able to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels is or are provided in isolated and/or purified form.

38. (Amended) A method according to claim 31 wherein a factor or factors able to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels is or are formulated into a composition comprising one or more additional components.

40. (Amended) A method according to claim 38 wherein the composition comprises a pharmaceutically acceptable excipient.

50. (Amended) A method according to claim 48 wherein a substance which modulates the ability of Type 1 astrocytes of the ventral mesencephalon, or a molecule or molecules thereof, to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels, is formulated into a composition comprising one or more additional components.

Add new claim 58 as follows:

58. (New) A method according to claim 24 further comprising formulating an agent which improves ability of a dopaminergic neuron to recover from or tolerate a said toxin into a composition comprising one or more additional components.

[illegible]

REMARKS

The purpose of this Preliminary Amendment is to delete multiple claims dependencies and to add a claim directed to a preferred embodiment of the invention.

A marked-up version of the present claim amendments is attached hereto.

The foregoing amendments do not introduce new matter into the present application and, therefore, should not be deemed objectionable. Entry of the present amendments is respectfully requested.

Dated: November 1, 2001

Patrick J. Hagan
Patrick J. Hagan
Registration No. 27,643
Attorney for Applicants

MARKED-UP COPY OF AMENDED CLAIMS

4. (Amended) A method according to [any one of claims 1 to 3] claim 1 comprising co-culturing the neural stem cell or neural progenitor cell with a Type 1 astrocyte of the ventral mesencephalon.
6. (Amended) A method according to [any one of the preceding claims] claim 1 wherein said cell is mitotic when it is contacted with said one or more factors.
7. (Amended) A method according to [any one of the preceding claims] claim 1 wherein said cell is additionally contacted with one or more agents selected from the group consisting of: basic fibroblast growth factor (bFGF), epidermal growth factor (EGF), an activator of the retinoid X receptor (RXR), and 9-cis retinol.
8. (Amended) A method according to [any one of the preceding claims] claim 1 wherein said cell is additionally contacted with a member of the FGF family of growth factors.
10. (Amended) A method according to [any one of the preceding claims] claim 1 wherein the neural stem cell or neural progenitor cell is pretreated with bFGF and/or EGF prior to contacting the cell with one or more factors obtainable from a Type 1 astrocyte of the ventral mesencephalon.
11. (Amended) A method according to [any one of the preceding claims] claim 1 further comprising formulating a dopaminergic neuron produced by the method into a composition comprising one or more additional components.
19. (Amended) A dopaminergic neuron produced in accordance with [any one of claims 1 to 10] claim 1.

23. (Amended) A method according to [any one of claims 1 to 10] claim 1 further comprising:

(i) treating a dopaminergic neuron with a toxin for said dopaminergic neuron;

(ii) separating the dopaminergic neuron from the toxin;

(iii) bringing the treated dopaminergic neuron into contact with a test agent or test agents;

(iv) determining the ability of the dopaminergic neuron to recover from the toxin;

(v) comparing said ability of the dopaminergic neuron to recover from the toxin with the ability of a dopaminergic neuron to recover from the toxin in the absence of contact with the test agent(s).

24. (Amended) A method according to [any one of claims 1 to 10] claim 1 further comprising:

(i) treating a dopaminergic neuron with a toxin for the dopaminergic neuron in the presence of a test agent or test agents;

(ii) determining the ability of the dopaminergic neuron to tolerate the toxin;

(iii) comparing said ability of the dopaminergic neuron to tolerate the toxin with the ability of a dopaminergic neuron to tolerate the toxin in the absence of contact with the test agent(s).

25. (Amended) A method according to claim 23 [or claim 24] further comprising formulating an agent which improves ability of a dopaminergic neuron to recover from or tolerate a said toxin into a composition comprising one or more additional components.

37. (Amended) A method according to [any one of claims 31 to 36] claim 31 wherein a factor or factors able to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels is or are provided in isolated and/or purified form.

38. (Amended) A method according to [any one of claims 31 to 37] claim 31 wherein a factor or factors able to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels is or are formulated into a composition comprising one or more additional components.

40. (Amended) A method according to claim 38 [or claim 39] wherein the composition comprises a pharmaceutically acceptable excipient.

50. (Amended) A method according to claim 48 [or claim 49] wherein a substance which modulates the ability of Type 1 astrocytes of the ventral mesencephalon, or a molecule or molecules thereof, to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels, is formulated into a composition comprising one or more additional components.

09980913
Rec'd PCT/PTO 01 NOV 2001MATERIALS AND METHODS RELATING TO NEURONAL DEVELOPMENTField of the Invention

The present invention relates to the induction of the neuronal fate in neural stem cells or neural progenitor cells. It relates to the induction of a specific neuronal phenotype, and particularly to the induction of a midbrain dopaminergic neuronal phenotype.

Background of the Invention

Neural stem cells have the ability to differentiate into neurons, astrocytes and oligodendrocytes. Recent advances in neural stem cell biology have shown that such stem cells can be isolated, expanded, and used as source material for brain transplants (Snyder, E. Y. et al. Cell 68, 33-51 (1992); Rosenthal, A. Neuron 20, 169-172 (1998); Gage, F.H., et al. Ann. Rev. Neurosci. 18, 159-192 (1995); Weiss, S. et al. Trends Neurosci. 19, 387-393 (1996); Snyder, E. Y. et al. Clin. Neurosci. 3, 310-316 (1996); Martínez-Serrano, A. et al. Trends Neurosci. 20, 530-538 (1997); McKay, R. Science 276, 66-71 (1997); Studer, L. et al. Nature Neurosci. 1, 290-295 (1998)). However, although multiple studies demonstrate that implanted neural stem cells successfully engraft and assume legitimate neural phenotypes, when transplanted into the intact adult brain these cells seem biased toward astro- and oligodendroglial fates (Martínez-Serrano, A. et al. Trends Neurosci. 20, 530-538 (1997); McKay, R. Science 276, 66-71 (1997); Snyder, E. Y. et al. Proc. Natl. Acad. Sci. USA 94, 11663-11668 (1997)).

Most neurodegenerative diseases affect neuronal populations. Moreover, most of the damage occurs to a specific neurochemical phenotype. In human Parkinson's disease, for example, the major cell type lost is midbrain dopaminergic neurons. Functional replacement of specific neuronal populations through transplantation of neural tissue represents an attractive therapeutic strategy for treating

neurodegenerative diseases (Rosenthal, A. Neuron 20, 169-172 (1998)).

Summary of the Invention

5 An ideal material for use in transplantation therapy is an expandable cell that could be instructed to assume a neuronal phenotype, particularly a specific neuronal phenotype, upon differentiation. This strategy would circumvent ethical and practical issues surrounding the use of human fetal tissue for
10 transplantation. In particular, implanted embryonic cells are of limited viability and are often rejected. In addition, each fetus provides only a small number of cells.

15 Induction of a single and specific neuronal phenotype in multipotent neural stem or progenitor cells *in vitro* has proven elusive.

In a first aspect, the present invention provides a method of inducing a neuronal fate in a neural stem cell or neural
20 progenitor cell, the method including expressing *Nurr1* above basal levels within the cell.

Nurr1 (Law, et al., (1992) Mol Endocrinol 6, 2129-2135; Xing, et al., (1997) Mol Brain Res 47, 251-261; Castillo (1997)
25 Genomics, 41, 250-257; GenBank nos. S53744, U72345, U86783) is a transcription factor of the thyroid hormone/retinoic acid nuclear receptor superfamily. The present disclosure shows that expression of *Nurr1* above basal levels in neural stem cells or neural progenitor cells increases the proportion of
30 the cells which differentiate toward a neuronal fate. The induction of a neuronal fate may be carried out *in vitro* or *in vivo*. The ability to induce differentiation of neural stem or progenitor cells toward the neuronal fate prior to, or following transplantation ameliorates the biasing of
35 transplanted stem cells to the astro- and oligodendroglial fates.

By "neural stem cell" is meant any cell type that can divide more than once and can give rise to cells that exhibit the most primitive type of phenotypes for neurons, astrocytes and oligodendrocytes. The neural stem cell may express one or more of the following markers: Nestin; the p75 neurotrophin receptor; Notch1. By "neural progenitor cell" is meant a multipotent daughter of a neural stem cell, which daughter is restricted in its potential fates and/or has a reduced proliferative potential compared to the neural stem cell. In preferred embodiments, the neural stem or progenitor cell does not express tyrosine hydroxylase either spontaneously or upon deprivation of mitogens (e.g. bFGF, EGF or serum).

The neural stem cell or neural progenitor cell may be obtained or derived from any region of the nervous system, e.g. from the cerebellum, the ventricular zone, the sub-ventricular zone or the hippocampus. It may be obtained or derived from a vertebrate organism, e.g. from a mammal, which may be human or non-human, such as rabbit, guinea pig, rat, mouse or other rodent, cat, dog, pig, sheep, goat, cattle, horse, or primate, or from a bird, such as a chicken.

The neuronal fate to which the neural stem or progenitor cell is induced may exhibit a primitive neuronal phenotype. It may be a multipotent cell which is capable of giving rise to a plurality of distinct neuronal phenotypes. It may lack markers associated with specific neuronal fates, e.g. tyrosine hydroxylase.

In a method of inducing a neuronal fate according to the present invention wherein a plurality of neural stem cells and/or progenitor cells express *Nurrl* above basal levels, a majority of the stem or progenitor cells may be induced to adopt a neuronal fate. In preferred embodiments, more than 60%, more than 70%, more than 80%, more than 90% of the stem and/or progenitor cells may be induced to a neuronal fate.

As an alternative or addition to increasing transcription and/or translation of endogenous *Nurrl*, expression of *Nurrl* above basal levels may be caused by introduction of one or more extra copies of *Nurrl* into the neural stem or progenitor cell.

Accordingly, in a further aspect, the present invention provides a method of inducing a neuronal fate in a neural stem cell or neural progenitor cell, the method including transforming the cell with *Nurrl*. Transformation of the neural stem or progenitor cell may be carried out in vitro or in vivo. The neuronal fate to which the neural stem or progenitor cell is induced may be of the type discussed herein, e.g. it may exhibit a primitive neuronal phenotype and may lack markers associated with specific neuronal fates. The invention further provides a neural stem cell or neural progenitor cell transformed with *Nurrl*.

Transformed *Nurrl* may be contained on an extra-genomic vector or it may be incorporated, preferably stably, into the genome. It may be operably-linked to a promotor which drives its expression above basal levels in neural stem or progenitor cells, as is discussed in more detail below.

"Operably linked" means joined as part of the same nucleic acid molecule, suitably positioned and oriented for transcription to be initiated from the promoter.

Methods of introducing genes into cells are well known to those skilled in the art. Vectors may be used to introduce *Nurrl* into neural stem or progenitor cells, whether or not the *Nurrl* remains on the vector or is incorporated into the genome. Suitable vectors can be chosen or constructed, containing appropriate regulatory sequences, including promoter sequences, terminator fragments, polyadenylation sequences, enhancer sequences. Vectors may contain marker

genes and other sequences as appropriate. The regulatory sequences may drive expression of *Nurr1* within the neural stem or progenitor cells. For example, the vector may be an extra-genomic expression vector, or the regulatory sequences may be incorporated into the genome with *Nurr1*. Vectors may be plasmids or viral.

Nurr1 may be placed under the control of an externally inducible gene promoter to place it under the control of the user. The term "inducible" as applied to a promoter is well understood by those skilled in the art. In essence, expression under the control of an inducible promoter is "switched on" or increased in response to an applied stimulus. The nature of the stimulus varies between promoters. Some inducible promoters cause little or undetectable levels of expression (or no expression) in the absence of the appropriate stimulus. Whatever the level of expression is in the absence of the stimulus, expression from any inducible promoter is increased in the presence of the correct stimulus. An example of an inducible promoter is the Tetracyclin ON/OFF system (Gossen, et al., (1995) *Science*, 268, 1766-1769) in which gene expression is regulated by tetracyclin analogs.

For further details see, for example, *Molecular Cloning: a Laboratory Manual*: 2nd edition, Sambrook et al., 1989, Cold Spring Harbor Laboratory Press. Many known techniques and protocols for manipulation of nucleic acid, for example in preparation of nucleic acid constructs, mutagenesis, sequencing, introduction of DNA into cells and gene expression, and analysis of proteins, are described in detail in *Current Protocols in Molecular Biology*, Ausubel et al. eds., John Wiley & Sons, 1992.

Marker genes such as antibiotic resistance or sensitivity genes may be used in identifying clones containing nucleic acid of interest, as is well known in the art. Clones may also be identified or further investigated by binding studies, e.g.

by Southern blot hybridisation.

Nucleic acid including *Nurr1* may be integrated into the genome of the host neural stem cell or neural progenitor cell.

5 Integration may be promoted by including in the transformed nucleic acid sequences which promote recombination with the genome, in accordance with standard techniques. The integrated nucleic acid may include regulatory sequences able to drive expression of the *Nurr1* gene in neural stem or
10 progenitor cells. The nucleic acid may include sequences which direct its integration to a site in the genome where the *Nurr1* coding sequence will fall under the control of regulatory elements able to drive and/or control its expression within the neural stem or progenitor cell. The
15 integrated nucleic acid may be derived from a vector used to transform *Nurr1* into the neural stem or progenitor cells, as discussed herein.

The introduction of nucleic acid comprising *Nurr1*, whether
20 that nucleic acid is linear, branched or circular, may be generally referred to without limitation as "transformation". It may employ any available technique. Suitable techniques may include calcium phosphate transfection, DEAE-Dextran, electroporation, mechanical techniques such as microinjection,
25 direct DNA uptake, receptor mediated DNA transfer, transduction using retrovirus or other virus and liposome-mediated transfection. When introducing a chosen gene construct into a cell, certain considerations must be taken into account, well known to those skilled in the art. It will
30 be apparent to the skilled person that the particular choice of method of transformation to introduce *Nurr1* into neural stem or progenitor cells is not essential to or a limitation of the invention.

35 Suitable vectors and techniques for in vivo transformation of neural stem or progenitor cells with *Nurr1* are well known to

those skilled in the art. Suitable vectors include adenovirus, papovavirus, vaccinia virus, herpes virus and retroviruses. Disabled virus vectors may be produced in helper cell lines in which genes required for production of infectious viral particles are expressed. Suitable helper cell lines are well known to those skilled in the art. By way of example, see: Fallaux, F.J., et al., (1996) Hum Gene Ther 7(2), 215-222; Willenbrink, W., et al., (1994) J Virol 68(12), 8413-8417; Cosset, F.L., et al., (1993) Virology 193(1), 385-395; Highkin, M.K., et al., (1991) Poult Sci 70(4), 970-981; Dougherty, J.P., et al., (1989) J Virol 63(7), 3209-3212; Salmons, B., et al., (1989) Biochem Biophys Res Commun 159(3), 1191-1198; Sorge, J., et al., (1984) Mol Cell Biol 4(9), 1730-1737; Wang, S., et al., (1997) Gene Ther 4(11), 1132-1141; Moore, K.W., et al., (1990) Science 248(4960), 1230-1234; Reiss, C.S., et al., (1987) J Immunol 139(3), 711-714. Helper cell lines are generally missing a sequence which is recognised by the mechanism which packages the viral genome. They produce virions which contain no nucleic acid. A viral vector which contains an intact packaging signal along with the gene or other sequence to be delivered (*Nurr1*) is packaged in the helper cells into infectious virion particles, which may then be used for gene delivery to neural stem or progenitor cells.

In a further aspect, the present invention provides a method of inducing a specific neuronal fate in a neural stem or progenitor cell, wherein the stem cell or progenitor cell expresses *Nurr1* above basal levels, the method including contacting the cells with one or more factors supplied by or derived from a Type 1 astrocyte. The factor or factors may be provided by co-culturing the neural stem cell or neural progenitor cell with a Type 1 astrocyte. The method may occur in vitro or in vivo. The neural stem or progenitor cells expressing *Nurr1* above basal levels may be produced by transformation of the cells with *Nurr1*.

The factor or factors may be supplied by or derived from an immortalized astrocyte. The factor or factors may be supplied by or derived from an astrocyte cell line, e.g. an astrocyte mesencephalic cell line. Cell lines provide a homogenous cell population.

The present disclosure provides the first evidence that Type 1 astrocytes are involved in the determination of specific neuronal fates. The data presented herein suggests that astrocytes from distinct brain regions may be used as a source of signals required for the induction of regionally appropriate neuronal phenotypes in multiple brain structures.

Important aspects of the present invention are based on the finding that dopaminergic neurons can be generated from multipotent neural stem cells or progenitor cells *in vitro* by a process including expression of *Nurr1* above basal levels in the cells and contact of the cells with one or more factors supplied by or derived from Type 1 astrocytes of the ventral mesencephalon.

Accordingly, the specific neuronal fate is preferably a dopaminergic fate, and the Type 1 astrocyte is preferably a Type 1 astrocyte of the ventral mesencephalon.

The present invention allows for generation of large numbers of dopaminergic neurons. These dopaminergic neurons may be used as source material to replace cells which are damaged or lost in Parkinson's disease.

Preferably, the neural stem or progenitor cell expressing *Nurr1* above basal levels is mitotic when it is contacted with the one or more factors supplied by or derived from the Type 1 astrocyte.

In inducing a dopaminergic fate in a neural stem or progenitor cell, the cell may additionally be contacted with one or more

agents selected from: basic fibroblast growth factor (bFGF); epidermal growth factor (EGF); and an activator of the retinoid X receptor (RXR), e.g. the synthetic retinoid analog SR11237, (Gendimenico, G. J., et al., (1994) J Invest Dermatol 102(5), 676-80), or 9-cis retinol. Treating a co-culture of neural stem and/or progenitor cells expressing *Nurrl* above basal levels and Type 1 astrocytes with one or more of these agents may be used to increase the proportion of the stem and/or progenitor cells which adopt a dopaminergic fate, as demonstrated experimentally below. The method of inducing a dopaminergic fate in accordance with the present invention may include contacting the neural stem or progenitor cell with a member of the FGF family of growth factors, e.g. FGF4, FGF8.

Advantageously, the cells may be contacted with two or more of the above agents. The inventors have unexpectedly found that the beneficial effects of bFGF or EGF and SR11237 are additive at saturating doses. This finding suggests that these agents may act through different mechanisms.

The method of inducing a dopaminergic phenotype may include pretreating the neural stem cell or neural progenitor cell with bFGF and/or EGF prior to contacting it with the one or more factors supplied by or derived from Type 1 astrocytes of the ventral mesencephalon, e.g. prior to co-culturing it with a Type 1 astrocyte of the ventral mesencephalon.

The optional pretreatment step arises from two further unexpected findings of the inventors: (i) that neural stem cell lines expressing *Nurrl* above basal levels and showing high proliferation demonstrate enhanced induction to dopaminergic fate when co-cultured with Type 1 astrocytes of the ventral mesencephalon; and (ii) that after treatment with bFGF or EGF in serum-free medium (SFM), the baseline proliferation of most stem cell lines expressing *Nurrl* above basal levels remained elevated after passage into SFM alone.

The method of inducing a dopaminergic phenotype may include pretreating a neural stem cell or progenitor cell with a member of the FGF family of growth factors, e.g. FGF4, FGF8.

5 A method according to the invention in which a neuronal fate is induced in a multipotent neural stem or progenitor cell may include detecting a marker for the neuronal fate. β -tubulin III (TuJ1) is one marker of the neuronal fate (Menezes, J. R., et al., (1994) J Neurosci 14(9), 5399-5416). If a particular
10 neuronal phenotype is induced, the marker should be specific for that phenotype. For the dopaminergic fate, expression of tyrosine hydroxylase (TH) and/or release of dopamine and/or DOPAC may be detected e.g. by immunoreactivity (Cooper, J. R., et al., The Biochemical Basis of Neuropharmacology, 7th
15 Edition, (1996) Oxford University Press). The absence of Dopamine β hydroxylase (in the presence of TH/dopamine/DOPAC) is also indicative of dopaminergic fate.

Detection of a marker may be carried out according to any
20 method known to those skilled in the art. The detection method may employ a specific binding member capable of binding to a nucleic acid sequence encoding the marker, the specific binding member comprising a nucleic acid probe hybridisable with the sequence, or an immunoglobulin/antibody domain with
25 specificity for the nucleic acid sequence or the polypeptide encoded by it, the specific binding member being labelled so that binding of the specific binding member to the sequence or polypeptide is detectable. A "specific binding member" has a particular specificity for the marker and in normal conditions
30 binds to the marker in preference to other species. Alternatively, where the marker is a specific mRNA, it may be detected by binding to specific oligonucleotide primers and amplification in e.g. the polymerase chain reaction.

35 Nucleic acid probes and primers may hybridize with the marker under stringent conditions. Suitable conditions include, e.g. for detection of marker sequences that are about 80-90%

identical, hybridization overnight at 42°C in 0.25M Na₂HPO₄, pH 7.2, 6.5% SDS, 10% dextran sulfate and a final wash at 55°C in 0.1X SSC, 0.1% SDS. For detection of marker sequences that are greater than about 90% identical, suitable conditions include hybridization overnight at 65°C in 0.25M Na₂HPO₄, pH 7.2, 6.5% SDS, 10% dextran sulfate and a final wash at 60°C in 0.1X SSC, 0.1% SDS.

In a further aspect, the present invention provides a neuron produced in accordance with any one of the methods disclosed herein. The neuron may have a primitive neuronal phenotype. It may be capable of giving rise to a plurality of distinct neuronal phenotypes. The neuron may have a particular neuronal phenotype, the phenotype being influenced by the type of astrocyte from which the factor or factors which contacted the neural stem or progenitor cell expressing *Nurr1* above basal levels were supplied or derived, or by the type of astrocyte with which the stem or progenitor cell was co-cultured. In preferred embodiments, the neuron has a dopaminergic phenotype.

The neuron may contain nucleic acid encoding a molecule with neuroprotective or neuroregenerative properties operably linked to a promoter which is capable of driving expression of the molecule in the neuron. The promoter may be an inducible promoter, e.g. the TetON chimeric promoter, so that any damaging over-expression may be prevented. The promoter may be associated with a specific neuronal phenotype, e.g. the TH promoter.

The encoded molecule may be such that its expression renders the neuron independent of its environment, i.e. such that its survival is not dependent on the presence of one or more factors or conditions in e.g. the neural environment into which it is to be implanted. By way of example, the neuron may contain nucleic acid encoding one or more of the neuroprotective or neuroregenerative molecules described below

operably linked to a promoter which is capable of driving expression of the molecule in the neuron.

In addition or alternatively, expression of the encoded molecule may function in neuroprotection or neuroregeneration of the cellular environment surrounding that neuron. In this way, the neuron may be used in a combined cell and gene therapy approach to deliver molecules with neuroprotective and neuroregenerative properties.

Examples of molecules with neuroprotective and neuroregenerative properties include:

(i) neurotropic factors able to compensate for and prevent neurodegeneration. One example is glial derived neurotrophic growth factor (GDNF) which is a potent neural survival factor, promotes sprouting from dopaminergic neurons and increases tyrosine hydroxylase expression (Tomic, et al., (1995) Nature, 373, 335-339; Arenas, et al., (1995) Neuron, 15,1465-1473).

By enhancing axonal elongation GDNF, GDNF may increase the ability of the neurons to innervate their local environment. Other neurotropic molecules of the GDNF family include Neurturin, Persephin and Artemin. Neurotropic molecules of the neurotrophin family include nerve growth factor (NGF), brain derived neurotrophic factor (BDNF), and neurotrophin-3, -4/5 and -6.

(ii) antiapoptotic molecules. Bcl2 which plays a central role in cell death. Over-expression of Bcl2 protects neurons from naturally occurring cell death and ischemia (Martinou, et al., (1994) Neuron, 1017-1030). Another antiapoptotic molecule is BclX-L.

(iii) axon regenerating and/or elongating and/or guiding molecules which assist the neuron in innervating and forming connections with its environment, e.g. ephrins. Ephrins define a class of membrane-bound ligands capable of activating

tyrosine kinase receptors. Ephrins have been implicated in neural development (Irving, et al., (1996) Dev. Biol., 173, 26-38; Krull, et al., (1997) Curr. Biol. 7, 571-580; Frisen, et al., (1998) Neuron, 20, 235-243; Gao, et al., (1996) PNAS, 93, 11161-11166; Torres, et al., (1998) Neuron, 21, 1453-1463; Winslow, et al., (1995) Neuron, 14, 973-981; Yue, et al., (1999) J Neurosci 19(6), 2090-2101.

(iv) differentiation molecules, e.g. the homeobox domain protein Ptx3 (Smidt, M. P., et al., (1997) Proc Natl Acad Sci USA, 94(24), 13305-13310).

A neuron in accordance with or for use in the present invention may be substantially free from one or more other cell types, e.g. from neural stem or progenitor cells. Neurons may be separated from neural stem or progenitor cells using any technique known to those skilled in the art. By way of example, antibodies against extracellular regions of molecules found on neural stem or progenitor cells but not on neurons may be employed. Such molecules include Notch 1 and the glial cell line derived neurotrophic factor receptor GFR $\alpha 2$. Stem cells bound to antibodies may be lysed by exposure to complement, or separated by, e.g. magnetic sorting (Johansson, et al., (1999) Cell, 96, 25-34). If antibodies which are xenogeneic to the intended recipient of the neurons are used, then any e.g. neural stem or progenitor cells which escape such a cell sorting procedure are labelled with xenogeneic antibodies and are prime targets for the recipient's immune system.

The present invention extends in various aspects not only to a neuron produced in accordance with any one of the methods disclosed herein, but also a pharmaceutical composition, medicament, drug or other composition comprising such a neuron, use of such a neuron or composition in a method of medical treatment, a method comprising administration of such

a neuron or composition to a patient, e.g. for treatment (which may include preventative treatment) of Parkinson's disease or other (e.g. neurodegenerative) diseases, use of such a neuron in the manufacture of a composition for administration, e.g. for treatment of Parkinson's disease or other (e.g. neurodegenerative diseases), and a method of making a pharmaceutical composition comprising admixing such a neuron with a pharmaceutically acceptable excipient, vehicle or carrier, and optionally one or more other ingredients, e.g. a neuroprotective molecule, a neuroregenerative molecule, a retinoid, growth factor, astrocyte, anti-apoptotic factor, or factor that regulates gene expression in neural stem or progenitor cells or in the host brain. Such optional ingredients may render the neuron independent of its environment, i.e. such that its survival is not dependent on the presence of one or more factors or conditions in its environment. By way of example, the method of making a pharmaceutical composition may include admixing the neuron with one or more factors found in the developing ventral mesencephalon. The neuron may be admixed with GDNF and/or neurturin (NTN).

The present invention provides a composition containing a neuron produced in accordance with the invention, and one or more additional components. Pharmaceutical compositions according to the present invention, and for use in accordance with the present invention, may comprise, in addition to the neuron, a pharmaceutically acceptable excipient, carrier, buffer, preservative, stabiliser, anti-oxidant or other material well known to those skilled in the art. Such materials should be non-toxic and should not interfere with the activity of the neuron. The precise nature of the carrier or other material will depend on the route of administration. The composition may include one or more of a neuroprotective molecule, a neuroregenerative molecule, a retinoid, growth factor, astrocyte, or factor that regulates gene expression in neural stem or progenitor cells. Such substances may render the neuron independent of its environment as discussed above.

Liquid pharmaceutical compositions generally include a liquid carrier such as water, petroleum, animal or vegetable oils, mineral oil or synthetic oil. Physiological saline solution, dextrose or other saccharide solution or glycols such as
5 ethylene glycol, propylene glycol or polyethylene glycol may be included.

The composition may be in the form of a parenterally acceptable aqueous solution which is pyrogen-free and has
10 suitable pH, isotonicity and stability. Those of relevant skill in the art are well able to prepare suitable solutions using, for example, isotonic vehicles such as Sodium Chloride, Ringer's Injection, or Lactated Ringer's Injection. A composition may be prepared using artificial cerebrospinal
15 fluid.

The present invention extends to the use of a neuron produced in accordance with the invention in a method of medical treatment, particularly the treatment of a medical condition
20 associated with damage to, the loss of, or a disorder in, neuronal cells. Moreover, the invention may provide the use of a neuron of a specific phenotype in the treatment of a condition, disease or disorder which is associated with damage to, or the loss of neurons of that phenotype. More
25 particularly, the invention provides the use of a dopaminergic neuron in the treatment of human Parkinson's disease. While the invention particularly relates to materials and methods for treatment of neurodegenerative diseases (e.g. Parkinson's disease), it is not limited thereto. By way of example, the
30 invention extends to the treatment of damage to the spinal cord and/or cerebral cortex.

In methods of treatment in which the administered neuron is a pluripotent cell that is capable of giving rise to two or more
35 distinct neuronal phenotypes, the neuron or composition may be introduced into a region containing astrocytes which direct the differentiation of the neuron to a desired specific neuronal fate. The neuron or composition may for example be

injected into the ventral mesencephalon where it may interact with Type 1 astrocytes and be induced to adopt a dopaminergic phenotype. Alternatively or in addition, an implanted composition may contain a pluripotent neuron in combination with one or more factors which direct its development toward a specific neuronal fate as discussed above, e.g. with a Type 1 astrocyte.

Neurons may be implanted into a patient by any technique known in the art (e.g. Lindvall, O., (1998) *Mov. Disord.* 13, Suppl. 1:83-7; Freed, C.R., et al., (1997) *Cell Transplant*, 6, 201-202; Kordower, et al., (1995) *New England Journal of Medicine*, 332, 1118-1124; Freed, C.R., (1992) *New England Journal of Medicine*, 327, 1549-1555).

Administration of a composition in accordance with the present invention is preferably in a "prophylactically effective amount" or a "therapeutically effective amount" (as the case may be, although prophylaxis may be considered therapy), this being sufficient to show benefit to the individual. The actual amount administered, and rate and time-course of administration, will depend on the nature and severity of what is being treated. Prescription of treatment, e.g. decisions on dosage etc, is within the responsibility of general practitioners and other medical doctors.

A composition may be administered alone or in combination with other treatments, either simultaneously or sequentially dependent upon the condition to be treated.

The methods provided herein for inducing a neuronal fate in neural stem or progenitor cells may be carried out using neural stem cell lines or neural progenitor cell lines as a source material. In this way there is virtually no limitation on the number of neurons which may be produced.

In order to ameliorate possible disadvantages associated with

immunological rejection of transplanted cells, neural stem or progenitor cells may be isolated from a patient and induced to the desired phenotype. Advantageously, isolated neural stem or progenitor cells may be used to establish stem cell or progenitor cell lines so that large numbers of immunocompatible neuronal cells may be produced. A further option is to establish a bank of cells covering a range of immunological compatibilities from which an appropriate choice can be made for an individual patient. Neural stem or progenitor cells derived from one individual may be altered to ameliorate rejection when they or their progeny are introduced into a second individual. By way of example, one or more MHC alleles in a donor cell may be replaced with those of a recipient, e.g. by homologous recombination.

If neural stem or progenitor cells derived from a cell line carrying an immortalizing oncogene are used for implantation into a patient, the oncogene may be removed using the CRE-loxP system prior to implantation of the cells into a patient (Westerman, K. A. et al Proc. Natl. Acad Sci. USA 93, 8971 (1996)). An immortalizing oncogene which is inactive at the body temperature of the patient may be used.

In a further aspect the present invention extends to the use of a neuron produced in accordance with the invention in a method of screening for an agent for use in the treatment of a neurodegenerative disease. The neuron may be a dopaminergic neuron. The neurodegenerative disease may be Parkinson's disease. The agent may be a neuroprotective and/or neuroregenerative molecule. The method may be carried out *in vitro*.

The method may include:

- (i) treating a neuron of the invention with a toxin for said neuron;
- (ii) separating the neuron from the toxin;
- (iii) bringing the treated neuron into contact with a test

agent or test agents;

(iv) determining the ability of the neuron to recover from the toxin;

(v) comparing said ability of the neuron to recover from the toxin with the ability of the or an identical neuron to recover from the toxin in the absence of contact with the test agent(s).

The method may include:

(i) treating a neuron of the invention with a toxin for the neuron in the presence of a test agent or test agents;

(ii) determining the ability of the neuron to tolerate the toxin;

(iii) comparing said ability of the neuron to tolerate the toxin with the ability of the or an identical neuron to tolerate the toxin in the absence of contact with the test agent(s).

The toxin may be 6-hydroxydopamine, 5,7-dihydroxytryptamine or 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP). The ability of the neuron to recover from or tolerate the toxin may be determined by any method known to those skilled in the art, for example by monitoring cell viability, (e.g. by cell counting, e.g. by the TUNEL technique), by monitoring morphology, (e.g. sprouting, axonal elongation and/or branching), and/or by monitoring biochemistry, (e.g. TH activity, e.g. neurotransmitter uptake/release/content).

Neural stem or progenitor cells which may be used in the present invention include C17.2 (Snyder, E. Y. et al. Cell 68, 33-51 (1992)) and the H6 human cell line (Flax et al. Nature Biotech 16 (1998)). Further examples are listed in (Gage, F.H. et al. Ann. Rev. Neurosci. 18, 159-192 (1995)).

While the present discussion has been made with reference to neural stem cells or neural progenitor cells, the methods provided herein may be applied to the induction of neuronal fates in other stem/progenitor cells. Examples of such cells

include stem cells associated with non-neural systems. The methods may be applied to hematopoietic stem cells and/or proliferative cells from the epidermis. Hematopoietic cells may be collected from blood or bone biopsy. Epithelial cells
5 may be collected by skin biopsy or by scraping e.g. the oral mucosa. Since a neuronal phenotype is not a physiological *in vivo* fate of these stem/progenitor cells, the inductive process may be referred to as trans-differentiation, or desdifferentiation and neural redifferentiation. A method of
10 inducing such cells to a neuronal fate may include the use of antisense regulators to genes associated with non-neuronal phenotypes, i.e. to suppress and/or reverse the differentiation of these cells toward non-neuronal fates.

15 The methods of the present invention may be applied to stem cells located before neural stem cells in the developmental pathway. They may be applied to stem cells which are capable of giving rise to two or more daughter stem cells associated with different developmental systems. Examples of daughter
20 stem cells are hematopoietic stem cells, proliferative cells from the epidermis, and neural stem cells.

As discussed above, the present disclosure demonstrates that dopaminergic neurons can be generated from multipotent neural
25 stem or progenitor cells by a process requiring expression of *Nurr1* above basal levels in combination with one or more factors supplied by or derived from Type 1 astrocytes of the ventral mesencephalon. The one or more factors are soluble and secreted, as determined by a capacity to pass through a
30 microporous insert. The factor or factors also appear to be labile.

In various further aspects the present invention is concerned with provision of assays and methods of screening for a factor
35 or factors which induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels, and with a factor or factors identified thereby.

The invention provides a method of screening for a factor or factors able, either alone or in combination, to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels. A further aspect of the present invention provides the use of a neural stem or progenitor cell expressing *Nurr1* above basal levels in screening or searching for and/or obtaining/identifying a factor or factors which induce(s) a dopaminergic fate in such a stem or progenitor cell.

A method of screening may include:

- (a) bringing a test substance into contact with a neural stem or progenitor cell expressing *Nurr1* above basal levels, which contact may result in interaction between the test substance and the neural stem or progenitor cell; and
- (b) determining interaction between the test substance and the stem or progenitor cell.

A method of screening may include bringing a test substance into contact with a membrane fraction derived from a neural stem or progenitor cell expressing *Nurr1* above basal levels and determining interaction between the test substance and the membrane fraction. The preparation of membrane fractions is well within the capabilities of those skilled in the art.

Binding or interaction may be determined by any number of techniques known in the art, qualitative or quantitative. Interaction between the test substance and the stem or progenitor cell may be studied by labeling either one with a detectable label and bringing it into contact with the other which may have been immobilised on a solid support, e.g. by using an antibody bound to a solid support, or via other technologies which are known *per se*.

A screening method may include culturing a neural stem or progenitor cell in the presence of a test substance or test substances and analyzing the cell for differentiation to a

dopaminergic phenotype, e.g. by detecting a marker of the dopaminergic phenotype as discussed herein. Tyrosine hydroxylase (TH) is one marker of the dopaminergic phenotype.

- 5 Any of the substances screened in accordance with by the present invention may be a natural or synthetic chemical compound.

10 A screening method may include comparing Type 1 astrocytes of the ventral mesencephalon with neural cells (e.g. astrocytes) which are unable to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels. The comparison may for example be between Type 1 astrocytes of the ventral mesencephalon and Type 1 astrocytes from other neural
15 locations.

A screening method involving astrocytes may employ immortalized astrocytes. It may involve astrocyte cell lines, e.g. astrocyte mesencephalic cell lines. Such cell lines
20 provide a homogenous cell population.

A screening method may employ any known method for analyzing a phenotypic difference between cells and may be at the DNA, mRNA, cDNA or polypeptide level. Differential screening and
25 gene screening are two such techniques. A substance identified by any of the methods of screening described herein may be used as a test substance in any of the other screening methods described herein.

30 A screening method may employ a nucleic expression array, e.g. a mouse cDNA expression array. In this approach, an array of different nucleic acid molecules is arranged on a filter, e.g. by cross-linking the nucleic acid to the filter. A test solution or extract is obtained and the nucleic acid within it
35 is labeled, e.g. by fluorescence. The solution or extract is then applied to the filter. Hybridisation of the test nucleic acid to nucleic acid on the filter is determined and compared

to the hybridisation achieved with a control solution. A difference between the hybridisation obtained with the test and control samples is indicative of a different nucleic acid content. For further information on nucleic acid arrays, see
5 www.clontech.com.

A screening method may include comparing C17.2 parental cells with C17.2 cells which express *Nurr1* above basal levels, e.g. to identify target genes of *Nurr1* and/or the receptor(s) for
10 the factor or factors which are supplied by or derived from Type 1 astrocytes of the ventral mesencephalon and which induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels. Once the target gene(s)
15 and/or receptor(s) have been identified they may be isolated and/or purified and/or cloned and used in methods of screening for the factor or factors themselves, e.g. by affinity chromatography.

A screening method may include purifying and/or isolating a
20 substance or substances from a mixture. The method may include determining the ability of one or more fractions of the mixture to interact with a neural stem cell or neural progenitor cell expressing *Nurr1* above basal levels, e.g. the ability to bind to and/or induce a dopaminergic fate in such a
25 neural stem or progenitor cell. The purifying and/or isolating may employ any method known to those skilled in the art.

A screening method may employ an inducible promoter operably linked to nucleic acid encoding a test substance. Such a
30 construct is incorporated into a host cell and one or more properties of that cell under the permissive and non-permissive conditions of the promoter are determined and compared. The property determined may be the ability of the host cell to induce a dopaminergic phenotype in a co-cultured
35 neural stem or progenitor cell expressing *Nurr1* above basal levels. A difference in that ability of the host cell between the permissive and non-permissive conditions indicates that

the test substance may be able, either alone or in combination, to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels.

- 5 The precise format of any of the screening methods of the present invention may be varied by those of skill in the art using routine skill and knowledge.

10 A factor or factors identified by any one of the methods provided by the invention may be isolated and/or purified and/or further investigated. It may be manufactured.

15 In various further aspects, the invention further provides a factor identified by any one of the methods disclosed herein, a pharmaceutical composition, medicament, drug or other composition comprising such a factor (which composition may include a neural stem or progenitor cell expressing *Nurr1* above basal levels), use of such a factor to induce neural stem or progenitor cells expressing *Nurr1* above basal levels
20 to adopt a dopaminergic phenotype, use of such a factor or composition in a method of medical treatment, a method comprising administration of such a factor or composition to a patient, e.g. for treatment (which may include preventative treatment) of a medical condition associated with damage to,
25 loss of, or a disorder in dopaminergic neurons, e.g. for treatment of Parkinson's disease or another neurodegenerative disease, use of such a factor in the manufacture of a composition, medicament or drug for administration, e.g. for treatment of Parkinson's disease or other (e.g.
30 neurodegenerative diseases), and a method of making a pharmaceutical composition comprising admixing such a factor with a pharmaceutically acceptable excipient, vehicle or carrier, and optionally other ingredients.

- 35 In a related aspect, the present invention provides a method of screening for a substance which modulates the ability of Type 1 astrocytes of the ventral mesencephalon (or of a factor

or factors identified by a screening method provided by the invention) to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurrl* above basal levels.

5 Such a method may include one or more of:

(i) co-culturing Type 1 astrocytes with neural stem or progenitor cells which express *Nurrl* above basal levels in the presence of one or more test substances;

10 (ii) bringing neural stem or progenitor cells which express *Nurrl* above basal levels into contact with one or more factors identified by a screening method provided by the invention as being able to induce a dopaminergic phenotype in such cells, said contact occurring in the presence of one or more test substances;

15 (iii) analysing the proportion of stem or progenitor cells which adopt a dopaminergic fate;

(iv) comparing the proportion of stem or progenitor cells which adopt a dopaminergic fate with the number of stem or progenitor cells which adopt a dopaminergic fate in comparable reaction medium and conditions in the absence of the test substance(s). A difference in the proportion of dopaminergic neurons between the treated and untreated co-cultures is indicative of a modulating effect of the relevant test substance(s).

25

Such a method of screening may include:

(i) co-culturing Type 1 astrocytes with neural stem or progenitor cells which express *Nurrl* above basal levels in the presence of one or more test substances;

30 (ii) analysing the proportion of stem or progenitor cells which adopt a dopaminergic fate;

(iii) comparing the proportion of stem or progenitor cells which adopt a dopaminergic fate with the number of stem or progenitor cells which adopt a dopaminergic fate in comparable reaction medium and conditions in the absence of the test substance(s).

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Such a method of screening may include:

(i) bringing neural stem or progenitor cells which express

Nurr1 above basal levels into contact with one or more factors identified by a screening method provided by the invention as

5 being able to induce a dopaminergic phenotype in such cells, said contact occurring in the presence of one or more test substances;

(ii) analysing the proportion of stem or progenitor cells which adopt a dopaminergic fate;

10 (iii) comparing the proportion of stem or progenitor cells which adopt a dopaminergic fate with the number of stem or progenitor cells which adopt a dopaminergic fate in comparable reaction medium and conditions in the absence of the test substance(s).

15

Following identification of a substance which modulates inductive activity, the substance may be investigated further. It may be manufactured and/or used in the preparation, i.e. manufacture or formulation, of a composition such as a

20 medicament, pharmaceutical composition or drug. Any of substance tested for its modulating activity may be a natural or synthetic chemical compound.

Aspects and embodiments of the present invention will now be

25 illustrated, by way of example, with reference to the accompanying figures. Further aspects and embodiments will be apparent to those skilled in the art. All documents mentioned in this specification are incorporated herein by reference.

30 **Brief Description of the Figures**

Figure 1 demonstrates the characterization of the Nurr1-C17.2 clones.

Figure 1A shows the residual proliferative rate of the

35 parental-, Nurr1- and mock-C17.2 control clones. %BrdU+ indicates the percentage of cells which incorporated BrdU after a six-hour pulse in serum free media.

Figure 1B shows that expression of *Nurr1* significantly increases neuronal fate in serum free media. %TuJ1+/%BrdU- indicates the percentage of cells which expressed β -tubulin III but did not incorporate BrdU.

5 Figure 1C shows that co-culturing two of the clones with E16 ventral mesencephalic cells significantly increases dopaminergic fate. %TH+ indicates the percentage of tyrosine hydroxylase positive C17.2 cells.

10 Figure 2 demonstrates the role of retinoids, bFGF, and proliferation in the induction of dopaminergic neurons from *Nurr1*-over-expressing neural stem or progenitor cell lines in ventral mesencephalic co-cultures.

Figure 2A shows the effects of SR11237, bFGF, and EGF on dopaminergic induction. %TH+ indicates the percentage of C17.2 cells in co-culture expressing tyrosine hydroxylase. (C) co-cultures of E16 VM cells with parental C17.2 cells or with *Nurr1*-C17.2-clone 42 cells; (SR) co-culture plus SR11237; (F) co-culture plus bFGF; (α F) co-culture plus blocking antibody to bFGF (E) co-culture plus EGF.

20 Figure 2B demonstrates the link between proliferation and dopaminergic induction. %TH+ indicates the percentage of C17.2 cells after co-culture for 9 DIV expressing tyrosine hydroxylase. %BrdU+ indicates the percentage of those cells which incorporated BrdU.

Figure 3 shows that VM Type 1 astrocytes induce a dopaminergic phenotype on a *Nurr1* over-expressing neural stem cell line (*Nurr1*-C17.2-clone 42). %TH+ indicates the percentage of clone 42 cells expressing tyrosine hydroxylase. (E16 VM) co-culture of clone 42 with E16 ventral mesencephalon cells; (Tot) co-culture with total primary cells; (Ad+) co-culture with adherent cell fraction; (Ad-) co-culture with non-adherent cell fraction; (T1A) co-culture with Type 1 astrocytes; (P1 T1A) co-culture with Postnatal day 1 Type 1 astrocytes from the ventral mesencephalon. (Insert) insert separates clone 42

cells from P1 T1A cells in co-culture; (CTX) co-culture with cortex, (HC) co-culture with hippocampus; (SC) co-culture with spinal cord.

5 Figure 4 illustrates HPLC analysis of supernatants collected from co-cultures of Type 1 astrocytes of the ventral mesencephalon (T1A) with either KCl-depolarized *Nurr1*-C17.2-clone42 (c42) cells, or KCl depolarized parental C17.2 (C17-2) cells. (+SR+bFGF) co-cultures plus of bFGF and SR11237.

10 Figure 5 shows that early activity of *Nurr1* produces long-lasting changes in gene expression in C17.2 cells. Relative light units (RLU) indicates the expression of luciferase from a *Nurr1* activated NBRE-luciferase reporter.

15 Detailed Description

METHODS

Nurr1-over-expressing cell lines

20 C17.2 cells were cotransfected with a CMX-*Nurr1* expression vector (Perlmann, T. et al. Genes Dev. 9, 769-782 (1995)) and PGK-puromycin resistance plasmids (*Nurr1* clones) or a PGK-puromycin alone (mock clones). For reporter assays, C17.2 parental cells were transfected with the *Nurr1* expression
25 vector, the reporter plasmid (NGFIB-binding response element (NBRE) triplet upstream of TK minimal promoter fused to firefly luciferase) and a pRSV-alkaline phosphatase plasmid at a 2:1:2 ratio.

30 Fifty *Nurr1* transfected clones were selected for puromycin resistance, isolated, amplified and *Nurr1* mRNA expression was analyzed by RNase protection assay (RPA). Assays were performed using the RPAII Ribonuclease Protection Assay Kit (Ambion), following the manufacturers' recommendations. A 288
35 bp antisense *Nurr1* cRNA probe spanning nucleotides 1798-2086

of the mouse *Nurr1* cDNA sequence (Law, S.W. et al. Mol. Endocrinol. 6, 2129-2135 (1992)) was transcribed with T7 (from a *Nurr1* cDNA cloned into the EcoRI/XhoI site of the PBS-KS+ vector, linearised with EcoRI) and labeled with (α -³²P)CTP (Amersham). Protected cRNA fragments were separated on 4% PAGE under denaturing conditions. The intensity of the signal was analyzed with a phosphoimager MD storm 840 and *Nurr1* signal was standardized to the content of GAPDH in every sample.

Cell culture and treatments

C17.2 neural stem cells (Snyder, E. Y. et al. Cell 68, 33-51 (1992)) were maintained and passaged as previously described (Snyder, E. Y. et al. Cell 68, 33-51 (1992)). Ventral mesencephala from E16 rat embryos were dissected, mechanically dissociated and plated at a final density of 1×10^5 cells/cm² on poly-D-lysine coated culture wells in a defined, serum-free medium (N2, consisting of a 1:1 mixture of F12 and DMEM containing insulin (10 ng/ml), transferrin (100 μ g/ml), putrescine (100 μ M), progesterone (20 nM), selenium (30 nM), glucose (6 mg/ml), and bovine serum albumin (1 mg/ml)). After 24 hours *in vitro*, co-cultures were initiated by directly plating $2.5-5 \times 10^4$ C17.2-derived cells into the primary cultures; all ages of cultures given use this point as 0 DIV. This sequence of plating and ratio of primary/C17.2 cells resulted in the healthiest cultures, although varying the numbers of C17.2 cells over a 10-fold range did not significantly affect the proportion of TH+ cells observed. Purified Type 1 astrocytes were obtained from mixed glial cultures derived from various regions of P1 rats according to a standard protocol (McCarthy, K.D. et al. J. Cell Biol. 85, 890-902 (1980)). After replating into 6- or 12-well plates, astrocytes were grown to confluency in serum-containing media and changed to N2 medium. After 3-5 DIV, co-cultures were initiated in fresh N2 as described above. All factors were added once, at the initiation of co-culture (concentrations are noted in the Results and Discussion), with the exception

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Immunocytochemical analysis and HPLC

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mM KCl in PBS/ 0.1 M sodium citrate for 5 minutes while swirling. The supernatants were immediately assayed for dopamine content using high-pressure liquid chromatography (HPLC). Samples were separated with a reverse-phase C-18 column, eluted with acetonitrile and detected electrochemically. Results were verified with a standard containing dopamine, DOPAC, 5-HT, and 5-HIAA.

Long-term cultures and transplantation

Parental C17.2 cells or C17.2-Nurr1-c42 cells were grown in large (10cm) insert cocultures with VM type 1 astrocytes for 8 days in the presence of bFGF (10ng/ml) and SR11237 (1 μ M). Cells were then trypsinized off of the insert, pelleted, and resuspended, at a concentration of 100,000 cells/ μ l, in their own conditioned media; an aliquot of this mixture was then plated into a poly-D-lysine treated 6-well tissue culture plate containing N2 media, while the remainder was used for transplantation. Adult (25-30 grams) CD1 mice housed and treated according to the guidelines of the European Community (86/609/EEC) were anaesthetized with pentobarbital (60mg/kg i.p.). 25,000 cells were stereotactically injected into the striatum, at each of the two following coordinates (in mm): AP (bregma) = 0.56, L=1.9, DV(dura) = -2.55 and -2.75, with the incisor bar at -3. Twelve days after grafting, mice were transcardially perfused with 4% paraformaldehyde. Brains were postfixed for 2 hours, embedded in 10% sucrose for more than 1 day and frozen in dry-ice cooled isopentane. 14 micron cryostat sections were processed for TH immunohistochemistry using mouse anti-TH (Incstar, Minnesota, USA) 1:1000, and donkey anti-mouse rhodamine (Jackson, Pennsylvania, USA) 1:100 antibodies. TH-positive cells were photographed using a Hamamatsu camera attached to a Zeiss Axioplan 2 microscope.

RESULTS AND DISCUSSION

Expression of Nurr1 above basal levels in multipotent neural stem cells

The inventors used a well characterized clone of multipotent neural stem cells termed C17.2 (Snyder, E. Y. et al. Cell 68, 33-51 (1992)). Initially derived from developing mouse cerebellum, C17.2 cells have been immortalized with v-myc, contain a lacZ reporter, possess the ability to differentiate into neurons, astrocytes, and oligodendrocytes *in vitro* and *in vivo*, and upon transplantation into the developing brain, adopt regionally-appropriate neuronal phenotypes (Snyder, E. Y. et al. Cell 68, 33-51 (1992); Snyder, E. Y. et al. Nature 374, 367-370 (1995)). Moreover, the same single factors known to direct the differentiation of primary stem cells from the fetal and adult central nervous system (Johe, K. K. et al. Genes Dev. 10, 3129-3140 (1996)) direct the differentiation of C17.2 cells *in vitro*.

C17.2 cells were stably transfected as described below and fifty *Nurr1* clones were analyzed for transgene expression by RNase protection assay (RPA). Several *Nurr1* clones over-expressed the transgene, of which the five highest expressors were selected for analysis. These five selected clones expressed much higher levels of *Nurr1* than parental C17.2 cells, or cells of the ventral mesencephalon, cerebellum or cortex. 8 randomly chosen, mock control clones were also selected. All *Nurr1* clones behaved similarly to the parental and mock clones in serum-containing media, with no obvious differences in growth rate or morphology.

Differentiative capacity of *Nurr1* over-expressing clones

To define the differentiative capacity and phenotypic fate of *Nurr1* over-expressing clones, the inventors examined the behavior of the clones after low density passage into serum-free, defined media (conditions which allow for differentiation). In this condition, the parental cell line begins to differentiate, so that after 4-5 days *in vitro* (DIV), 80-85% of the population is post-mitotic (i.e. BrdU

negative after extended pulse), while approximately 20-30% of the post-mitotic cells adopt a neuronal fate, judged by expression of β -tubulin III (TuJ1).

5 The effects of *Nurr1* on differentiative capacity, as measured by incorporation of 10 μ M BrdU after a 6 hour pulse in serum-free media, were varied. Although there was a trend toward increased differentiation within the *Nurr1* clones, no clear effect of the transgene on this process was seen (Fig 1A).
10 However, the effects of *Nurr1* on the fate of post-mitotic cells were clear and robust (Fig. 1B). Expression of the *Nurr1* transgene significantly increased neuronal fate in serum-free media, as judged by expression of β -tubulin III (TuJ1), to an average of 68% of the post-mitotic cells across
15 all five clones (global effect of *Nurr1* transgene vs. mock, *P < 0.0001 by 2-way ANOVA). In four of five *Nurr1* clones, the vast majority of post-mitotic cells (greater than 60%) adopted a neuronal fate, a phenomenon not seen in any of the mock clones.

20 Although *Nurr1* appears to restrict the C17.2 cell line to neuronal lineages, the neurochemical fate of these neurons was not dopaminergic as no significant tyrosine hydroxylase (TH, a marker for dopaminergic neurons) immunoreactivity was detected
25 in any of the *Nurr1* clones under these conditions.

Induction of *Nurr1* over-expressing clones to the dopaminergic fate

The inventors treated the *Nurr1* clones with a variety of
30 trophic factors, mitogens, cytokines and other agents known to be important in the proliferation (basic fibroblast growth factor (bFGF), epidermal growth factor (EGF), insulin-like growth factor, fetal calf serum), differentiation (retinoic acid, dopamine, forskolin, sonic hedgehog) and survival (glial
35 cell line-derived neurotrophic factor, brain-derived neurotrophic factor, neurotrophin-3, ciliary neurotrophic

factor) of endogenous dopaminergic neurons. None of these factors induced TH expression in any of the clones, alone or combined.

5 The *Nurr1* clones were co-cultured *in vitro* with primary cultures derived from E16 rat ventral mesencephalon, the age and region where endogenous dopaminergic neurons of the substantia nigra have just been born (Altman, J. et al. Neurogenesis and Neuronal Migration, in The Rat Nervous System, 2nd edition (ed. Paxinos, G. Academic Press, San Diego) p. 1054 (1995)). Under these conditions a significant percentage of cells from two of the *Nurr1* lines (the clones with the highest proliferative capacity, clones 4 and 42) demonstrated measurable amounts of TH immunoreactivity (Fig. 1C - *P < 0.0001, interaction of transgene vs. clone by 2-way ANOVA). Little or no TH staining was seen in the C17.2 parent or any of the mock control lines. Phase-contrast microscopy of the cocultures revealed large proliferative clusters of *Nurr1*-clone-derived TH+ cells.

20 Collectively, these observations suggested that one or more local factors derived from the primary cultures interacted directly or indirectly with *Nurr1* to induce TH expressing neurons. TH expression was limited to a minority subpopulation within a fraction of the *Nurr1* clones.

Treatment of co-cultures with SR11237, bFGF and EGF

Treating co-cultures of the highest TH-expressing *Nurr1* clone (clone 42) and primary VM cells with combinations of the previously mentioned factors was generally ineffective in increasing TH expression, with three important exceptions: the synthetic retinoid analog SR11237, bFGF, and EGF (Fig. 2A).

35 Addition of SR11237 (to 1 μ M) and bFGF (to 10ng/ml) to the parental C17.2 cells in co-culture did not induce expression

of TH, however, each of these molecules induced TH expression in 40-60% of the *Nurr1*-C17.2 clone 42 population when added to co-cultures in serum free media. The effects of bFGF could be substituted by EGF. Moreover, the effects of SR11237 and bFGF or EGF were additive at saturating doses (up to 90% LacZ+ were TH+) suggesting that they may act through distinct mechanisms.

SR11237 specifically stimulates RXR retinoid receptors (Lehmann, J.M. et al. *Science* 258, 1944-1946 (1992)) which have been shown to heterodimerize with *Nurr1* to form transcription-initiating complexes (Perlmann, T. et al. *Genes Dev.* 9, 769-782 (1995)). This observation, along with observations that all-trans retinoic acid was without effect, suggest that a functional interaction between *Nurr1*, RXR receptors and primary VM cells may be involved.

Retinoids and FGF have previously been shown to play a role in normal dopaminergic neuronal development (Ye, W. et al. *Cell* 93, 755-766 (1998); Eichele, G. *Trends Genet* 13, 343-345 (1997); Krezel, W. *Science* 279, 864-867 (1998)). However, although these factors increase the proportion of TH expressing cells as discussed above, the inventors have shown that the effects of these factors on *Nurr1*-C17.2-c42 (c42) seem to be modulatory. Antagonism of either, with blocking antibodies or with specific RAR or RXR antagonists, did not prevent basal TH expression of c42 in co-culture (i.e. about 10%, Fig. 1C). Antagonism of sonic hedgehog using blocking antibodies was also ineffective in preventing basal TH expression. Thus, the only obligate requirement for the induction of a dopaminergic phenotype in c42 seems to be exposure to signals derived from primary ventral mesencephalic cells.

Pretreatment of clones with bFGF and EGF

bFGF and EGF are both directly mitogenic to the C17.2 cells (Kitchens, D.L. et al. *J. Neurobiol* 25 (7), 797-807 (1994)), but it was found that after extended treatment with either

factor in serum-free medium (SFM), the baseline proliferation of most clonal lines remained unexpectedly elevated after passage into SFM alone. Moreover, it was surprising that Nurrl clones with the highest amount of residual proliferation in serum free medium (clones 4 and 42), demonstrated significant TH expression in co-culture.

The inventors reasoned that increasing the proliferation of other Nurrl clones should increase the number of TH expressing neurons. Nurrl clones were pretreated with bFGF for 5 DIV prior to splitting and replating into primary ventral mesencephalic cultures. Sister cultures were assayed for both proliferation after pretreatment and TH expression after co-culture for 9 DIV. This procedure enabled selective examination of the effects of bFGF on the Nurrl clones and eliminated the mitogenic effects of bFGF on primary cells as well as its indirect trophic effect on primary dopaminergic neurons.

BrdU labeling of bFGF-pretreated Nurrl clones was increased at 24 hours after passage of cells into co-culture, as compared with cells directly split out of serum-containing medium (rightward shift of individual clones on X-axis, Fig. 2B). Concomitant with this increase in proliferation, proportional increases in the percentage of TH-positive cells were observed in all of the Nurrl clones (upward shift of clones on Y-axis, Fig. 2B), reaching as high as 45% TH+ (clone 42). In fact, regression analysis indicates a significant linear relationship ($r^2=0.890$ by regression) between the proliferative capacity of the Nurrl over-expressing clones at the initiation of co-culture and the propensity to express TH after differentiation within, as well as between, individual clones after 9 DIV.

The above results therefore suggest that Nurrl over-expressing clones should preferably be mitotic when first brought into

contact with primary VM cells, or with one or more factors supplied by or derived from primary VM cells, i.e. that an important influence on differentiation to a dopaminergic fate in Nurrl clones is their proliferative capacity.

5

Further support is provided by the observation that, at the time when most TH-expressing c42 cells begin appearing in co-culture (6 DIV), nearly all of them are still mitotic (BrdU positive after acute pulsing at 6 DIV. However, after similar treatment of co-cultures at 9 DIV, the vast majority of TH+ cells are BrdU negative, suggesting withdrawal from the cell cycle following induction of measurable TH expression.

Previous studies examining the adoption of phenotypic fate during cerebral cortical (McConnell, S. K. et al. Science 254, 282-285 (1991)) and spinal cord (Ericson, J. et al. Cell 87, 661-673 (1996)) development have demonstrated that exposure of non-committed neuroblasts to spatially-restricted, local factors induces specific phenotypes within these populations, but this induction is contingent upon continuous exposure up to and including the terminal S-phase of the neuroblast. A similar mechanism may underlie the present observations.

Analysis of the inductive signal from primary ventral mesencephalic cells

Primary ventral mesencephalic cultures typically contain a mixture of dopaminergic and other neurons, astrocytes, oligodendrocytes, as well as assorted non-neural elements such as microglia, endothelial cells, and fibroblasts. To identify the source of the TH-promoting activity, the inventors performed a crude separation of the primary cells based on adhesion; the rapidly adherent population was enriched for glial and non-neural elements, while the non-adherent population consisted mainly of neurons, oligodendrocyte precursors, and a few astrocytes.

Co-culture of these fractions with c42 demonstrated that the

majority of TH-inducing activity was contained within the rapidly adherent population of E16 ventral mesencephalon cells (Fig. 3). The inventors then prepared purified cultures of Type 1 astrocytes from E16 ventral mesencephalon and demonstrated that T1A were the source of TH-inducing activity: in the absence of any added factor, the number dopaminergic cells derived from the Nurrl-c42 line dramatically increased. Furthermore, this activity was not restricted to early development, as astrocytes isolated from the VM of newborn rats induced equivalent numbers of TH positive c42 cells (about 70%) (Fig. 3).

Nurrl-C17.2-c42 cells were treated with Type 1 astrocyte conditioned media or membrane fragments, respectively. However, neither of these treatments induced a significant increase in the number of TH expressing cells, suggesting that this inducing activity was highly labile. The inventors co-cultured astrocytes and Nurrl-C17.2-c42 cells, but spatially separated the two populations (by about 1mm) via a microporous insert (0.4 μ m porous membrane). The insert allowed free passage of macromolecules but prevented contact between the two populations. In this environment, TH expression was induced in Nurrl-C17.2-c42 cells at a level equivalent to direct co-culture (Fig. 3). These results provide indication that ventral mesencephalic Type 1 astrocytes secrete a highly labile diffusible factor which interacts with Nurrl over-expressing lines to induce TH expression.

Inductive activity of T1A from other brain regions

The inventors then examined whether the inductive activity is restricted to Type 1 astrocytes from the ventral mesencephalon. T1A were isolated from several brain regions which contain populations of Nurrl-expressing cells during development (Zetterström, R. H. et al. Mol. Brain Res. 41, 111-120 (1996)). No increase in the number of TH immunoreactive cells was observed in c42, compared to parental

C17.2 cells, when co-cultured with T1A from other brain regions including cerebrocortex, hippocampus, or spinal cord (Fig. 3). This indicates that a putative TH-inducing factor is specifically and selectively produced by ventral mesencephalic Type 1 astrocytes.

However, c42 cells were not unaffected by astrocytes from these regions. Cells of this line developed distinct, neuronal-like morphologies unique to each region. Under the same conditions however, the C17.2 parental line tended to display a uniform mixture of polygonal, bi- and tripolar morphologies. Collectively, these data suggest that astrocytes from distinct brain regions secrete unique, or unique combinations of, factors which interact with Nurrl-expressing cells to produce specific, and perhaps regionally appropriate, neuronal phenotypes. Confirmation is obtained by identifying the neuronal phenotypes which are produced in the co-cultures. Specific neuronal markers are used, such as NPY, substance P, Ach, and Islet 1. Further support is obtained by grafting C17.2 cells expressing Nurrl above basal levels intracerebro-ventricularly at early embryonic stages to allow for their integration. The neuronal phenotypes originated by these cells are determined by lacZ expression and immunohistochemistry against a specific neuronal marker.

The mechanism of dopaminergic induction

The inventors compared Nurrl activity in C17.2 cells shortly after transfection with Nurrl activity in established C17.2-Nurrl clones. They found that significant Nurrl transactivational activity was detected 36 hours after cotransfection of the Nurrl expression vector and a Nurrl-responsive NBRE-luciferase reporter into C17.2 cells. No significant increase in basal Nurrl transactivational activity was observed after transfection of the reporter into stable, proliferating C17.2-Nurrl clones (grown in serum) (Figure 5),

or after transfection into differentiated clones obtained after passage into serum free medium.

These data indicate that *Nurrl* is not active during the neuronal differentiation of stable C17.2-*Nurrl* clones, and suggest that earlier transient high levels of *Nurrl* activity may have conferred upon the *Nurrl* clones long-lasting competence to be dopaminergic.

10 Changes in gene expression in *Nurrl* clones

The inventors examined changes in gene expression in *Nurrl* clones. GFR $\alpha 1$ and GFR $\alpha 2$ mRNA levels in mock and *Nurrl* clones were examined using RNase protection assays (GDNF family receptor $\alpha 1$; GDNF refers to Glial cell line-Derived Neurotrophic Factor). C17.2 and all the mock clones examined expressed high levels of GFR $\alpha 1$ mRNA and very low levels of the related receptor GFR $\alpha 2$. In contrast, all *Nurrl* clones demonstrated the inverse profile, i.e. very low GFR $\alpha 1$ and very high GFR $\alpha 2$ mRNA levels, suggesting that in C17.2 cells, *Nurrl* does not directly induce the TH+ phenotype, but rather acts indirectly, by producing stable up- or down-regulation of relevant proteins. In such a way, *Nurrl* may bestow multipotent cells with the competence to respond to specific factors, including those derived from ventral mesencephalic astrocytes.

The effects of *Nurrl* activity on the pattern of gene expression is further investigated by analysing the expression of genes important for the specification of midbrain neurons and ventral phenotypes (e.g. *Shh*, *Patch*, *Smo*, *Gli* genes, *Hes*, *FGF8*, *Ptx3*, *Pax* genes, *Engrailed 1* and *2*) and genes important for neurogenesis and differentiation (e.g. *Noggin*, *Chordin*, *Follistatin*, *Mash1*, *Math1*, *NeuroD*, *Neurogenin1-3*, *Notch1-3*, *Delta*, *Serrate* and *Myt1*).

35 The dopaminergic phenotype

The gain of TH expression represented the adoption of a legitimate dopaminergic phenotype within the *Nurr1*-C17.2-c42 line. By convention, the ability to release dopamine in response to membrane depolarization is the vital criterion for designation of neurochemical phenotype as dopaminergic. Co-cultures of parental or c42 lines and VM astrocytes were thus treated acutely with 50mM KCl and the supernatants were assayed for monoamine content with HPLC. Significant release of dopamine and its metabolite DOPAC was detected in co-cultures containing c42, with increased release detected in co-cultures treated with factors enhancing dopaminergic differentiation, e.g. SR11237 and bFGF, thereby correlating dopamine release to the number of TH expressing cells (Fig. 4). No dopamine release was observed in the parental line. Thus, TH expressing *Nurr1*-C17.2-c42 cells may be considered truly dopaminergic.

The TH expressing *Nurr1*-C17.2-c42 line was of a ventral mesencephalic dopaminergic type. *Nurr1*-C17.2-c42 cells in co-culture acquired immunoreactivity for aldehyde dehydrogenase-2 (AHD-2; Fig. 4), an enzyme selectively expressed in developing dopaminergic precursors within the ventral mesencephalon (McCaffery, P. et al. Proc. Natl. Acad. Sci. USA 91, 7772-7776 (1994)). They also expressed c-ret mRNA, the signaling receptor for GDNF (Trupp, M. et al. Nature 381, 785-789 (1996); Jing, S. Q. et al. Cell 85, 1113-1124 (1996)) which is present in dopaminergic neurons (Trupp, M. et al. Nature 381, 785-789 (1996)). Furthermore, TH positive c42 cells demonstrated similar responses to factors with known neurotrophic effects on dopaminergic progenitors (Studer, L. et al. Nature Neurosci. 1, 290-295 (1998)) and primary ventral mesencephalic dopaminergic neurons *in vitro* (Hyman, C. et al. J. Neurosci. 14, 335-347 (1994); Lin, L. H. et al. Science 260, 1130-1173 (1993)) (Fig. 4). Co-cultures were first treated with SR11237 and bFGF to induce a dopaminergic phenotype, after which the media was changed to N2 with or without various growth factors. At 9 DIV bFGF and

neurotrophin-3 (NT-3 30ng/ml) dramatically increased the number of TH+ cells in the culture, compared to the N2 control condition; Brain Derived Neurotrophic Factor (BDNF, 30ng/ml), Ciliary Neurotrophic Factor (CNTF, 10ng/ml) and Glial cell
5 line-Derived Neurotrophic Factor (GDNF, 10ng/ml) induced neuritogenesis and/or hypertrophy on *Nurr1*-c42-derived dopaminergic neurons. Thus the behavior of TH expressing c42 cells parallels that of endogenous neurons of the substantia nigra, so these cells are ventral mesencephalic-like
10 dopaminergic neurons.

Stability of induced dopaminergic neurons

The inventors assessed the long term stability of the induced dopaminergic phenotype *in vitro* and *in vivo*, particularly
15 after removal of astrocyte-derived inductive factors. c42 cells were co-cultured in inserts with VM type 1 astrocytes for 8 days, removed from the inserts and replated into defined N2 media without additional factors for 14 days. Although some cellular attrition was observed in the two weeks after
20 removal from co-culture, a significant number of cells displayed a highly mature dopaminergic phenotype, including long elaborate processes, hypertrophic cell bodies and intense levels of TH-immunoreactivity.

25 c42 cells from parallel co-cultures were also surgically injected into adult mouse corpus striatum and allowed to mature for 12 days, in the absence of any additional tropic factors or supportive cells (i.e. astrocytes, oligodendrocytes, or other neurons). Although cells were lost
30 in this condition, a small but significant number of c42 derived dopaminergic cells displayed a high level of differentiation and apparent integration into the host tissue. No TH+ cells derived from the c17.2 parental line were found in either of the long term *in vitro* or *in vivo* experiments.
35 Thus the observation that some c42 cells maintained or even increased TH expression after removal from Type 1-derived factors shows that, after dopaminergic induction, their

phenotype is stable. Since only a limited number of surviving TH+ cells could be detected, exogenously applied trophic factors or supporting cells are required for long-term survival.

5

Treatment of neurodegenerative disease

Confirmation of the ability of c17.2 derived neurons to treat neurodegenerative disease is obtained using an *in vivo* model of Parkinson's disease. The false neurotransmitters 6-hydroxydopamine (6-OHDA) or MPTP are specifically taken up by neurons and lead to oxidative stress and loss of dopaminergic and noradrenergic neurons.

Nurr1-c17.2 cells that have been differentiated into dopaminergic neurons *in vitro* are surgically implanted into either the substantia nigra or the striatum of 6-OHDA treated mice. The ability of these cells to integrate and fully differentiate is morphologically evaluated by β -gal staining, TH-immunohistochemistry and *in situ* hybridisation for dopamine transporter and dopamine receptors.

The ability of undifferentiated Nurr1-c17.2 cells to spontaneously differentiate *in vivo* toward the dopaminergic phenotype is assessed by intrastriatal or intranigral grafting of such cells into 6-OHDA treated animals. The dopaminergic phenotype is detected as described above.

The ability of Nurr1-c17.2 cells grafted into the striatum or substantia nigra to rescue motor asymmetries induced by unilateral 6-OHDA treatment is confirmed by assessment of circling behaviour in apomorphine and amphetamine tests (Schwartz, R. K., et al., (1996) *Progress in Neurobiology*, 50(2-3), 275-331).

35 SUMMARY

In conclusion, the results presented herein demonstrate that

expression of *Nurr1* above basal levels in neural stem or progenitor cells induces a neuronal fate. Moreover, Type 1 astrocytes of the ventral mesencephalon, but not from other brain regions, release one or more soluble factors which induce neural stem or progenitor cells originally obtained from a non-dopaminergic brain region and which express *Nurr1* above basal levels, to develop into dopaminergic neurons of the ventral mesencephalon. Thus dopaminergic neurons can be generated from neural stem or progenitor cells through a process including expression of *Nurr1* above basal levels in the cells and contact of the cells with one or more factors supplied by or derived from type 1 astrocytes of the ventral mesencephalon. In addition, the results suggest that primary astrocytes may be the source of signals required for the induction of regionally appropriate neuronal phenotypes in multiple brain structures.

The methods described herein, which take advantage of the multipotential capacity of neural stem or progenitor cells, selector genes such as *Nurr1*, and primary astrocytes, provide for the production of neurons of a desired neurochemical phenotype as a source material for neuronal transplantation in the treatment of neurodegenerative diseases. The induction of midbrain dopaminergic neurons may be used in a cell replacement strategy to treat Parkinson's disease.

15. JUN. 2001 11:54

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 6

45

CLAIMS:

1. A method of inducing a dopaminergic neuronal fate in a
5 neural stem cell or neural progenitor cell, the method
comprising:
expressing *Nurr1* above basal levels within the cell,
and
contacting the cell with one or more factors obtainable from a
10 Type 1 astrocyte of the ventral mesencephalon, whereby
dopaminergic neurons are produced.
2. A method according to claim 1 comprising contacting the
cell with FGF8.
- 15 3. A method according to claim 1 comprising transforming a
neural stem cell or neural progenitor cell with *Nurr1*.
4. A method according to any one of claims 1 to 3 comprising
20 co-culturing the neural stem cell or neural progenitor cell
with a Type 1 astrocyte of the ventral mesencephalon.
5. A method according to claim 4 wherein the Type 1
astrocyte is immortalized or is of an astrocyte cell line.
- 25 6. A method according to any one of the preceding claims
wherein said cell is mitotic when it is contacted with said
one or more factors.
- 30 7. A method according to any one of the preceding claims
wherein said cell is additionally contacted with one or more
agents selected from the group consisting of: basic fibroblast
growth factor (bFGF), epidermal growth factor (EGF), an
activator of the retinoid X receptor (RXR), and 9-cis retinol.

AMENDED SHEET

15. JUN. 2001 11:55

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 7

46

8. A method according to any one of the preceding claims wherein said cell is additionally contacted with a member of the FGF family of growth factors.

5

9. A method according to claim 8 wherein said cell is contacted with bFGF or EGF, and SR11237.

10. A method according to any one of the preceding claims wherein the neural stem cell or neural progenitor cell is pretreated with bFGF and/or EGF prior to contacting the cell with one or more factors obtainable from a Type 1 astrocyte of the ventral mesencephalon.

11. A method according to any one of the preceding claims further comprising formulating a dopaminergic neuron produced by the method into a composition comprising one or more additional components.

12. A method according to claim 11 wherein the composition comprises a pharmaceutically acceptable excipient.

13. A method according to claim 12 further comprising administering the composition to an individual.

25

14. A method according to claim 13 wherein the dopaminergic neuron is implanted into the brain of the individual.

15. A method according to claim 14 wherein the individual has Parkinson's disease.

30

16. A method according to any of claims 1 to 10 further comprising use of a dopaminergic neuron produced in accordance with the method in the manufacture of a medicament for

AMENDED SHEET

15. JUN. 2001 11:55

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 8

47

treatment of an individual.

17. A method according to claim 16 wherein the medicament is for implantation into the brain of the individual.

18. A method according to claim 17 wherein the individual has Parkinson's disease.

19. A dopaminergic neuron produced in accordance with any one of claims 1 to 10.

20. A composition comprising a dopaminergic neuron according to claim 19.

21. A composition according to claim 20 comprising one or more additional components.

22. Use of a dopaminergic neuron according to claim 19 in a method of screening for an agent for use in treatment of a neurodegenerative disease.

23. A method according to any one of claims 1 to 10 further comprising:

(i) treating a dopaminergic neuron with a toxin for said dopaminergic neuron;

(ii) separating the dopaminergic neuron from the toxin;

(iii) bringing the treated dopaminergic neuron into contact with a test agent or test agents;

(iv) determining the ability of the dopaminergic neuron to recover from the toxin;

(v) comparing said ability of the dopaminergic neuron to recover from the toxin with the ability of a dopaminergic neuron to recover from the toxin in the absence of contact with the test agent(s).

AMENDED SHEET

24. A method according to any one of claims 1 to 10 further comprising:

5 (i) treating a dopaminergic neuron with a toxin for the dopaminergic neuron in the presence of a test agent or test agents;

(ii) determining the ability of the dopaminergic neuron to tolerate the toxin;

10 (iii) comparing said ability of the dopaminergic neuron to tolerate the toxin with the ability of a dopaminergic neuron to tolerate the toxin in the absence of contact with the test agent(s).

25. A method according to claim 23 or claim 24 further comprising formulating an agent which improves ability of a dopaminergic neuron to recover from or tolerate a said toxin into a composition comprising one or more additional components.

20 26. A method according to claim 25 wherein said composition comprises a pharmaceutically acceptable excipient.

27. A method according to claim 26 further comprising administering said composition to an individual.

25

28. A method according to claim 27 wherein the individual has Parkinson's disease.

29. A method of screening for a receptor or receptors for the factor or factors which are obtainable from Type I astrocytes of the ventral mesencephalon and which induce a dopaminergic fate in neural stem or progenitor cells expressing Nurr-1 above basal levels, the method comprising comparing neural stem or progenitor cells with or without expression of Nurr-1

AMENDED SHEET

above basal levels within the neural stem or progenitor cells, to identify said receptor or receptors.

30. A method as in claim 29 which further comprises isolating
5 and/or purifying and/or cloning said receptor or receptors.

31. A method as in claim 30 which further comprises using
said receptor or receptors in a method of screening for said
factors or factors obtainable from type I astrocytes of the
10 ventral mesencephalon.

32. A method of screening for a factor or factors which,
either alone or in combination, induce a dopaminergic fate in
a neural stem or progenitor cell expressing *Nurr1* above basal
15 levels, the method comprising:

(a) bringing Type 1 astrocyte molecules into contact with
a neural stem cell or neural progenitor cell expressing *Nurr1*
above basal levels, which contact may result in interaction
between the Type 1 astrocyte molecules and the neural stem or
20 progenitor cell; and

(b) determining interaction between the Type 1 astrocyte
molecules and the stem or progenitor cell.

25 33. A method according to claim 22 which comprises comparing
molecules of Type 1 astrocytes of the ventral mesencephalon
with those of neural cells which are unable to induce a
dopaminergic fate in neural stem or progenitor cells
expressing *Nurr1* above basal levels.

30

34. A method of screening for a factor or factors which,
either alone or in combination, induce a dopaminergic fate in
a neural stem or progenitor cell expressing *Nurr1* above basal
levels, the method comprising culturing a neural stem cell or

AMENDED SHEET

15. JUN. 2001 11:55

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 11

50

neural progenitor cell expressing *Nurr1* above basal levels in the presence of Type 1 astrocyte molecules and analyzing said cell for differentiation to a dopaminergic phenotype.

- 5 35. A method according to claim 34 which comprises comparing Type 1 astrocytes of the ventral mesencephalon with neural cells which are unable to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels.
- 10 36. A method according to claim 33 which comprises differential expression screening.
37. A method according to any one of claims 31 to 36 wherein a factor or factors able to induce a dopaminergic fate in a
15 neural stem or progenitor cell expressing *Nurr1* above basal levels is or are provided in isolated and/or purified form.
38. A method according to any one of claims 31 to 37 wherein a factor or factors able to induce a dopaminergic fate in a
20 neural stem or progenitor cell expressing *Nurr1* above basal levels is or are formulated into a composition comprising one or more additional components.
39. A method according to claim 38 wherein the composition
25 comprises a neural stem or progenitor cell expressing *Nurr1* above basal levels.
40. A method according to claim 38 or claim 39 wherein the composition comprises a pharmaceutically acceptable excipient.
30
41. A method according to claim 40 further comprising administering the composition to an individual.
42. A method according to claim 41 wherein the composition is

AMENDED SHEET

15. JUN. 2001 11:55

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 12

51

implanted into the brain of the individual.

43. A method according to claim 42 wherein the individual has Parkinson's disease.

5

44. A method according to any one of claims 31 to 37 further comprising use of a factor or factors able to induce a dopaminergic fate in a neural stem or progenitor cell expressing *Nurr1* above basal levels in the manufacture of a medicament for treatment of an individual.

10

45. A method according to claim 44 wherein the medicament comprises a neural stem or progenitor cell expressing *Nurr1* above basal levels.

15

46. A method according to claim 44 or claim 45 wherein the medicament is for implantation into the brain of the individual.

47. A method according to claim 46 wherein the individual has Parkinson's disease.

20

48. A method of screening for a substance which modulates the ability of Type 1 astrocytes of the ventral mesencephalon, or a molecule or molecules of such astrocytes, to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels, the method comprising:

25

(i) co-culturing Type 1 astrocytes with neural stem or progenitor cells which express *Nurr1* above basal levels in the presence of one or more test substances; or

30

(ii) bringing neural stem or progenitor cells which express *Nurr1* above basal levels into contact with one or more molecules of Type 1 astrocytes able to induce a dopaminergic phenotype in such cells, said contact occurring in the

AMENDED SHEET

15. JUN. 2001 11:55

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 13

52

presence of one or more test substances;
and

(iii) analysing the proportion of stem or progenitor cells which adopt a dopaminergic fate;

5 (iv) comparing the proportion of stem or progenitor cells which adopt a dopaminergic fate with the number of stem or progenitor cells which adopt a dopaminergic fate in comparable reaction medium and conditions in the absence of the test substance(s).

10

49. A method according to claim 48 wherein a substance which modulates the ability of Type 1 astrocytes of the ventral mesencephalon, or a molecule or molecules of such astrocytes, to induce a dopaminergic fate in neural stem or progenitor
15 cells expressing *Nurr1* above basal levels, is provided in isolated and/or purified form.

50. A method according to claim 48 or claim 49 wherein a substance which modulates the ability of Type 1 astrocytes of
20 the ventral mesencephalon, or a molecule or molecules thereof, to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels, is formulated into a composition comprising one or more additional components.

25 51. A method according to claim 50 wherein the composition comprises a pharmaceutically acceptable excipient.

52. A method according to claim 51 further comprising administering the composition to an individual.

30

53. A method according to claim 52 wherein the composition is implanted into the brain of the individual.

54. A method according to claim 53 wherein the individual has

AMENDED SHEET

15. JUN. 2001 11:55

MEWBURN ELLIS CAMBRIDGE

NO. 3723 P. 14

53

Parkinson's disease.

55. A method according to claim 48 or claim 49 further comprising use of a substance which modulates the ability of
- 5 Type 1 astrocytes of the ventral mesencephalon, or a molecule or molecules of such astrocytes, to induce a dopaminergic fate in neural stem or progenitor cells expressing *Nurr1* above basal levels, in the manufacture of a medicament for treatment of an individual.
- 10
56. A method according to claim 55 wherein the medicament is for implantation into the brain of the individual.
57. A method according to claim 56 wherein the individual has
- 15 Parkinson's disease.

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ning of each regular issue of the PCT Gazette.

(54) Title: MATERIALS AND METHODS RELATING TO NEURONAL DEVELOPMENT

(57) Abstract: The invention relates to the induction of the neuronal fate in neural stem cells or neural progenitor cells. The inventors have found that a neuronal fate in a neural stem cell or neural progenitor cell can be induced by expressing *Nurr1* above basal levels within the cell. *Nurr1* is a transcription factor of the thyroid hormone/retinoic acid nuclear receptor superfamily. It is shown herein that the expression of *Nurr1* above basal levels in neural stem cells or neural progenitor cells increases the proportion of the cells which differentiate toward a neural fate. It has been found that in particular, dopaminergic neural stem cells or progenitor cells by a process including expression of *Nurr1* above basal levels in the cells and contact of the cells with one or more factors supplied by or derived from Type I astrocytes of the ventral mesencephalon.

WO 00/66713 A3

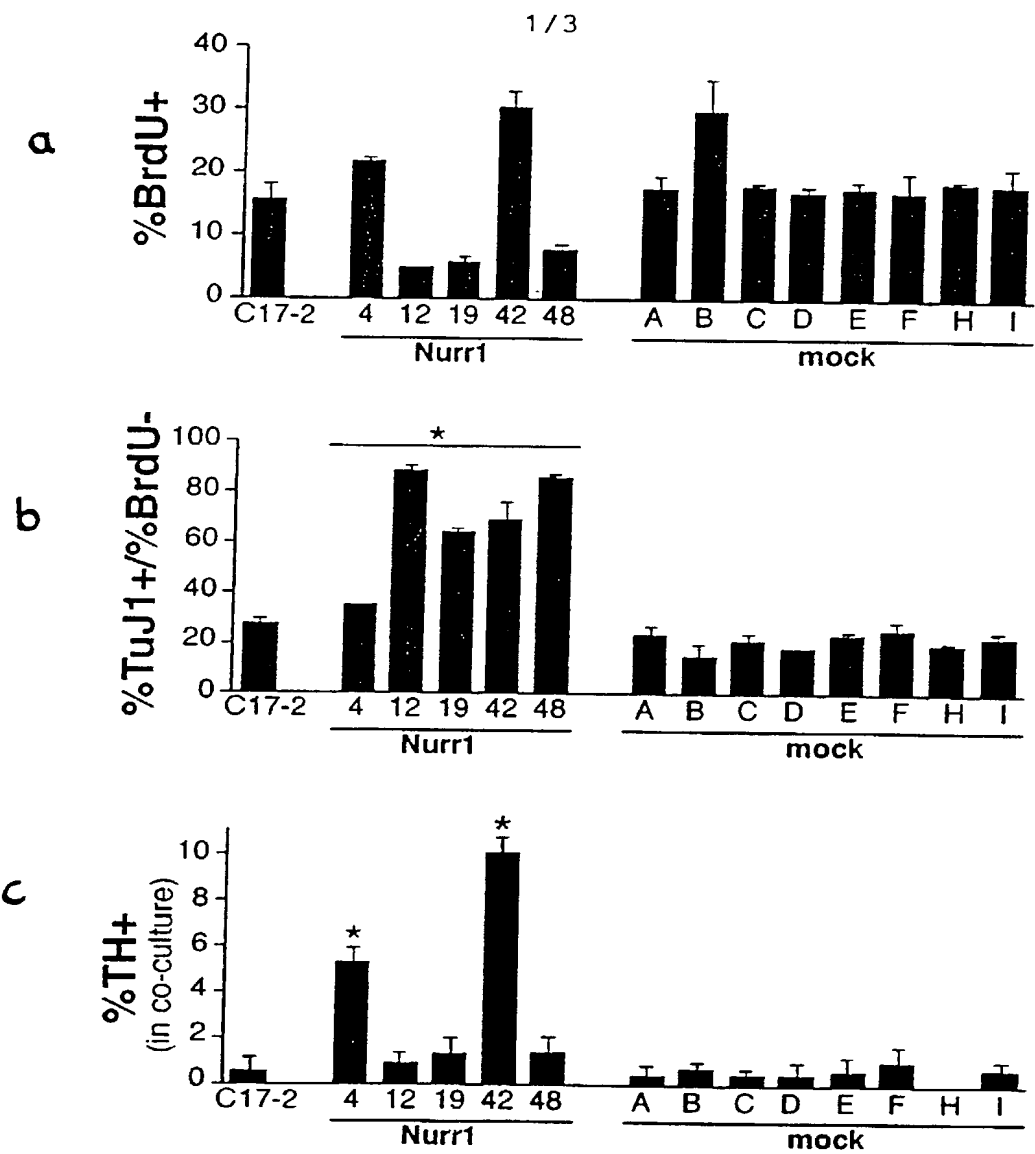
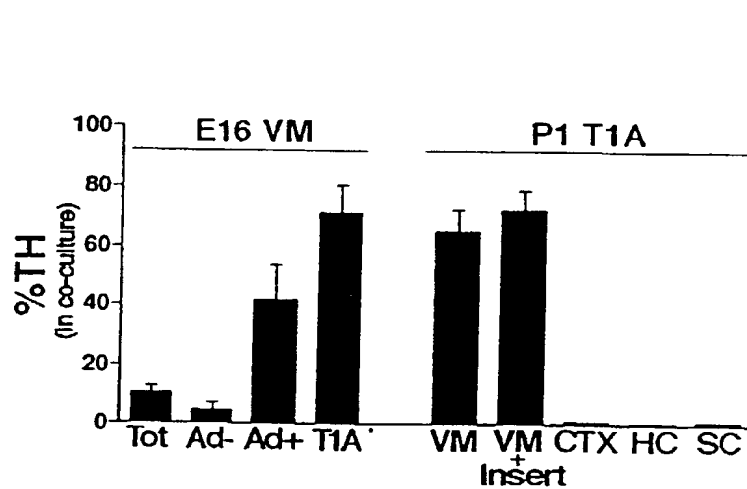
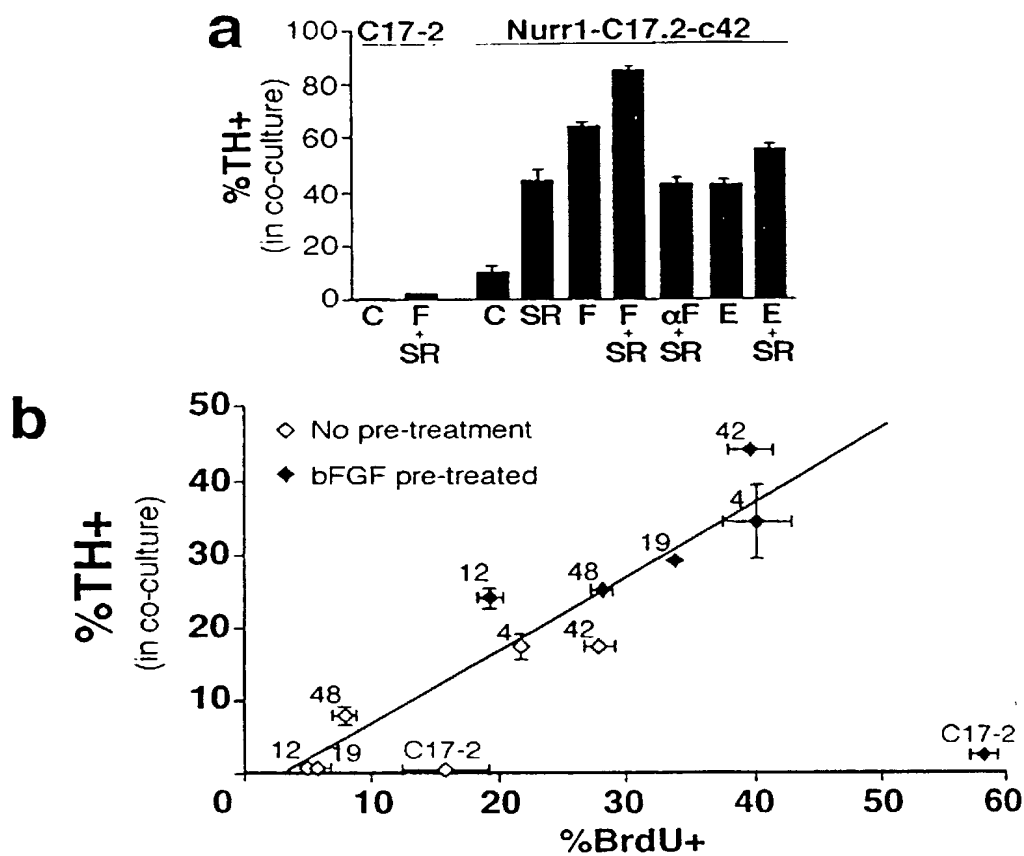
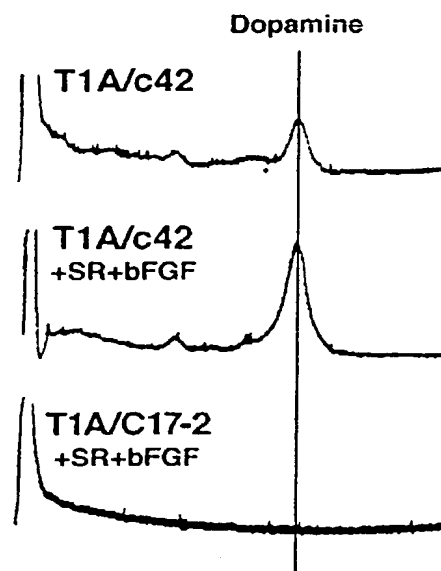
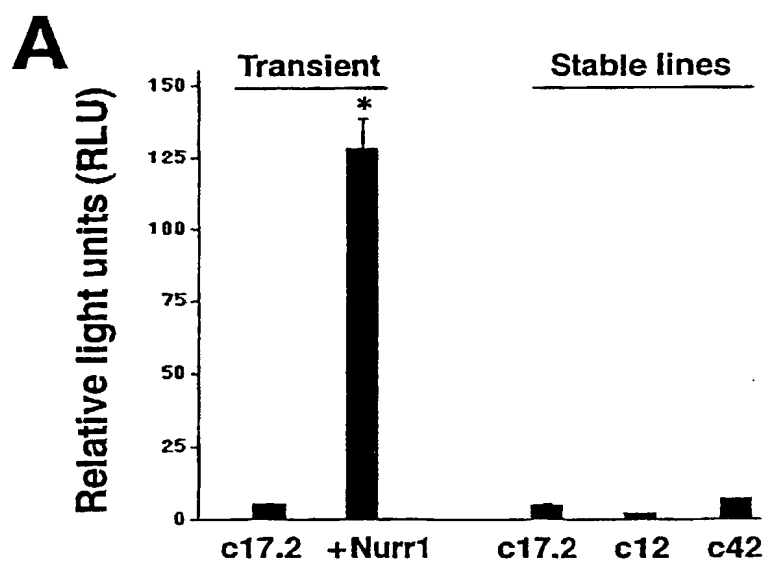


Fig. 1

FIG. 2FIG. 3FIG. 4

FIG. 5

DECLARATION, POWER OF ATTORNEY AND POWER TO INSPECT

As a below named inventor, I hereby declare:

that my residence, post office address and citizenship are as stated below next to my name;

that I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the invention entitled: **MATERIALS AND METHODS RELATING TO NEURONAL DEVELOPMENT**, the specification of which [check one(s) applicable]

X was filed 27 April 2000 as International Patent Application No. PCT/EP00/03842, on which U.S. National Stage Application No. 09/980,913 is based; and/or
 ___ and was amended by Amendment filed ___ (if applicable); and/or
 ___ is attached to this Declaration, Power of Attorney and Power to Inspect;

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and

that I acknowledge my duty to disclose information which is material to the examination of this application in accordance with Rule 56(a) [37 C.F.R. §1.56(a)].

CLAIM UNDER 35 U.S.C. §119(e): I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

<u>Provisional Appln No.</u>	<u>Filing Date</u>	<u>Day/Mo/Year</u>
60/132,317	3 May 1999	03-05-1999

POWER OF ATTORNEY: As inventor, I hereby appoint **DANN, DORFMAN, HERRELL AND SKILLMAN, P.C.** of Philadelphia, Pennsylvania, and the following individual(s) as my attorneys or agents with full power of substitution to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: **Patrick J. Hagan, Reg. No. 27,643** and **Kathleen D. Rigaut, Ph.D., Reg. 43,047**.

POWER TO INSPECT: I hereby give **DANN, DORFMAN, HERRELL AND SKILLMAN, P.C.** of Philadelphia, Pennsylvania or its duly accredited representatives power to inspect and obtain copies of the papers on file relating to this application.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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